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PATENT TRADEMARK OFFICE

Patent  
Case No.: 56352US002

OFFICIAL

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: CARPENTER, BARRY S.

Application No.: 09/911950 Group Art Unit: 2874

Filed: July 24, 2001 Examiner: Scott A. Knauss

Title: PACKAGED OPTICAL MICRO-MECHANICAL DEVICE

AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF TRANSMISSION**  
To Fax No.: 703-872-9318  
I hereby certify that this correspondence is being facsimile transmitted to the U.S. Patent  
and Trademark Office on:

October 1, 2003

Date

Signed by: Angie Zantelli

Dear Sir:

This is in response to the outstanding Office Action, mailed July 25, 2003, in the above-identified application.

Upon review of the file, it was noted that the initialed Form PTO-1449 filed with the Disclosure Statement dated June 24, 2003 has not been received. It is requested that the Examiner initial the Form PTO-1449, a copy of which is enclosed for the Examiner's convenience, and return it to the undersigned agent.

This Amendment is believed to be timely submitted, however if any extension of time is required, such extension is hereby requested. It is believed that no fee is due, however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks** begin on page 7 of this paper.

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